



RECEIPT  
#12

LAW OFFICES OF  
**SYNNESTVEDT & LECHNER LLP**  
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June 29, 1999

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re\ Application of: Michael Jaye, Art Unit 1646  
Kim-Anh Thi Doan, John A. Krawiec,  
Kevin J. Lynch, Dilip V. Amin,  
Victoria J. South, Dawn Marchadier,  
Cyrille Maugeais and Daniel J. Rader  
Application No. 09/277,401  
Filed: March 26, 1999

COMPOSITIONS AND METHODS FOR EFFECTING  
THE LEVELS OF HIGH DENSITY LIPOPROTEIN (HDL)  
CHOLESTEROL AND APOLIPOPROTEIN AI, VERY LOW  
DENSITY LIPOPROTEIN (VLDL) CHOLESTEROL AND  
LOW DENSITY LIPOPROTEIN (LDL) CHOLESTEROL

(Atty. Docket No. 22,944-C USA)

REQUEST FOR CORRECTION OF FILING RECEIPT

Assistant Commissioner  
for Patents  
Attn: Application Processing Division's  
Customer Correction Branch  
Washington, DC 20231

Sir:

This is to request that the Patent Office issue a  
corrected filing receipt for the above-identified application.

Only two of the nine named inventors are listed on the  
official Filing Receipt (copy enclosed). The above-identified  
application lists on both the first page of the Transmittal  
Letter and on the signature pages of the Combined Declaration  
and Power of Attorney the following inventors: Michael Jaye,  
Kim-Anh Thi Doan, John A. Krawiec, Kevin J. Lynch, Dilip V.

TC 1600 MAIL ROOM

JUL 13 1999

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Appln. No. 09/277,401

- 2 -

June 29, 1999

Amin, Victoria J. South, Dawn Marchadier, Cyrille Maugeais and Daniel J. Rader. Copies of these pages are enclosed also.

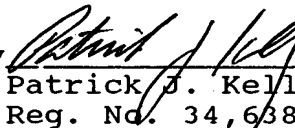
The Filing Receipt omits also all but the first two letters of the last word of the title. The final word of the title should read "CHOLESTEROL".

Please note that applicants have changed the Docket No. for the above-identified application to "22,944-C USA".

It is requested respectfully that a corrected Filing Receipt be issued.

Respectfully submitted,

SYNNESTVEDT & LECHNER LLP

By   
Patrick J. Kelly, Ph.D., Esq.  
Reg. No. 34,638

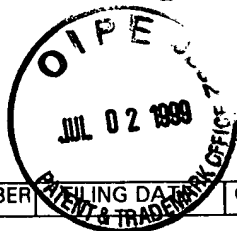
2600 Aramark Tower  
1101 Market Street  
Philadelphia, PA 19107  
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Enclosures

A:\22944c req for corrected filing receipt

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FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/277,401	03/26/99	1646	\$2,818.00	22944-USA	23	65	19

PATRICK J KELLY  
SYNNESTVEDT & LECHNER  
2600 ARAMARK TOWER  
1101 MARKET STREET  
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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

MICHAEL JAYE, GLENSIDE, PA; KIM-ANH THI DOAN, AUDUBON, PA.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CIP OF 08/985,492 12/05/97  
PROVISIONAL APPLICATION NO. 60/032,254 12/06/96  
PROVISIONAL APPLICATION NO. 60/032,783 12/06/96

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 05/26/99

TITLE

COMPOSITIONS AND METHODS FOR EFFECTING THE LEVELS OF HIGH DENSITY LIPOPROTEIN (HDL) CHOLESTEROL AND APOLIPOPROTEIN AI, VERY LOW DENSITY LIPOPROTEIN (VLDL) CHOLESTEROL AND LOW DENSITY LIPOPROTEIN (LDL) CH

PRELIMINARY CLASS: 514

*Roren*

FOR FILE	22944-A USA
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JUN - 7 1999	
SYNNESTVEDT & LECHNER	
ATTEN:	PIK

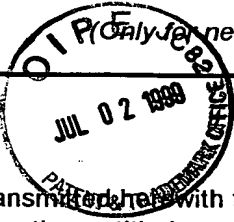
DATA ENTRY BY: ANISTEAD, KIRK

TEAM: 04 DATE: 05/26/99



COPY

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

Docket No.  
22,944 USATotal Pages in this Submission  
212

Only for new nonprovisional applications under 37 CFR 1.53(b))

## TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**COMPOSITIONS AND METHODS FOR EFFECTING THE LEVELS OF HIGH DENSITY LIPOPROTEIN (HDL) CHOLESTEROL AND APOLIPOPROTEIN AI, VERY LOW DENSITY LIPOPROTEIN (VLDL) CHOLESTEROL AND LOW DENSITY LIPOPROTEIN (LDL) CHOLESTEROL**

and invented by:

**Michael C. Jaye, Kim-Anh Thi Doan, John A. Krawiec, Kevin J. Lynch, Dilip V. Amin, Victoria J. South, Dawn Marchadier, Cyrille Maugeais and Daniel J. Rader**

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 08/985,492

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

### Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 174 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure